| PTO/SB/21 (04-0 |
|-----------------|
|-----------------|

| At Unit   Examiner Name   Attorney Docket Number   16869S-104700US  | TRANSMITTAL  | Filing Date  | January 30, 2004   |
|---|--|--|--|
| Att Unit   Examiner Name   Atturner   Examiner Name   Atturner   Appeal Communication to Board   Appeal Communication to TC   Appeal And Interferences   Appeal Communication to TC   Appeal Notice, Brief, Reply Brief)   Potential   Proprietary Information   Power of Atturner, Revocation   Proprietary Information   Power of Atturner, Revocation   Proprietary Information   Power of Atturner, Response to Atturner   Atturne  | FORM   | First Named Inventor   | Oshima, Satoshi  |
| Total Number of Pages in This Submission  |  | g) Art Unit  |  |
| ENCLOSURES (Check all that apply)   |  | Examiner Name  |  |
| ENCLOSURES (Check all that apply)    Fee Transmittal Form   | Total Number of Pages in This Submission   | Attorney Docket Number   | 16869S-104700US  |
| Fee Transmittal Form  Fee Attached  Amendment/Reply After Final After Final After Final After Final After Allowance Communication to Board of Appeals and Interferences Appeal Communication to Board of Appeals and Interferences Appeal Communication to Technology Control of Appeal Control of Appeal Communication to Technology Control of Appeal Control | Total Number of Pages III This Submission  |  |  |
| Fee Transmittal Form  |  | ENCLOSURES (Check all  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  Firm Townsend and Townsend and Crew LLP Or Individual name Robert C. Colwell Reg. No. 27,431  Signature  Date CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name Roger Hylton  | Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application | Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Terminal Disclaimer Request for Refund  CD, Number of CD(s)  Remarks The Commissioner Account 20-1430.  Pursuant to the Notice to File Miss 2004, the enclosures listed on this | to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter Other Enclosure(s) (please identify below):  1. Declaration/Power of Attorney 2. Copy of Notice 3. Supplemental ADS 4. Assignment Papers 5. Return Postcard  r is authorized to charge any additional fees to Deposit ing Parts of Nonprovisional Application, dated June 15, |
| Townsend and Townsend and Crew LLP or Individual name Robert C. Colwell Reg. No. 27,431  Signature  Date  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name  Roger Hylton   |  |  |  |
| Firm or Individual name Robert C. Colwell Reg. No. 27,431  Signature CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name Roger Hylton   | CIONAT   | TIDE OF ADDITOANT ATTO   | DENEY OF AGENT   |
| Signature  Date  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name  Roger Hylton  |  | <del></del>  | TRIET, OR AGENT  |
| Date  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name  Roger Hylton   | or _   |  | Pog No. 27 431   |
| CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name  Roger Hylton   |  |  | Neg. No. 21,431  |
| CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name  Roger Hylton   | 1 00 0000  | XL   |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name  Roger Hylton  | Date & U O4  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Typed or printed name  Roger Hylton  | CE   | RTIFICATE OF TRANSMISS   | SION/MAILING   |
| Toger Hyllon  | I hereby certify that this correspondence is bei   | ng deposited with the United States P  | Postal Service with sufficient postage as first class mail in an   |
| Signature Date 81204  | Typed or printed name Roger Hylton   |  |  |
|   | Signature  | 5  | Date 8112/04   |

Application Number

10/769,030

| - 'c'                                 |                             |                      | PTO/SB/17 (1      | 0-03) |
|---------------------------------------|-----------------------------|----------------------|-------------------|-------|
| FEE TRANS                             | NAITTAI                     |                      | Complete if Known |       |
| FEEIRANS                              |                             | Application Number   | 10/769,030        |       |
| for FY 2                              | 2004                        | Filing Date          | January 30, 2004  |       |
| Effective 10/01/2003. Patent fees are | subject to annual revision. | First Named Inventor | Oshima, Satoshi   |       |
| Applicant claims small entity sta     | atus. See 37 CFR 1.27       | Examiner Name        |                   |       |
|                                       |                             | Art Unit             |                   |       |
| TOTAL AMOUNT OF PAYMENT               | (\$) 170                    | Attorney Docket No.  | 16869S-104700US   |       |

| METHOD OF PAYMENT (check all that apply) |                                       |   |                     |            |              | FEE CA                             | ALCULATION (continued)   |             |
|--|---------------------------------------|---|---------------------|------------|--------------|------------------------------------|--|-------------|
| Check Credit Card Money Order Other None |                                       | 3. ADD  | ITIONAL F           | EES        |              |                                    |  |             |
| Deposit Acco                             |                                       |   | Large               | Entity     | Small        | Entity                             |  |             |
| Deposit                                  |                                       |   | Fee                 | Fee (\$)   | Fee          | Fee (\$)                           | Fee Description  | Fee         |
| Account                                  | 20-1430                               | )   | <b>Code</b><br>1051 | 130        | Code<br>2051 | 65                                 | Surcharge - late filing fee or oath  | Paid<br>130 |
| Number                                   | L                                     |   | 1051                | 50         | 2051         | 25                                 | Surcharge - late provisional filing fee or                                 |             |
| Deposit                                  |                                       |   | 1,002               | 30         | 2002         | 20                                 | cover sheet.   |             |
| Account                                  | Townsend                              | and Townsend and Crew LLP                     | 1053                | 130        | 1053         | 130                                | Non-English specification  |             |
| Name                                     |                                       | to all the decay is a                         | 1812                | 2,520      | 1812         | 2,520                              | For filing a request for reexamination                                     |             |
|  | utnorized to: (c<br>) indicated below | heck all that apply)  Credit any overpayments | 1804                | 920*       | 1804         | 920*                               | Requesting publication of SIR prior to<br>Examiner action                  |             |
|  | -                                     | or any underpayment of fee(s)                 | 1805                | 1,840*     | 1805         | 1,840*                             | Requesting publication of SIR after  |             |
|  |                                       | , except for the filing fee                   |                     |            |              |                                    | Examiner action  |             |
| to the above-iden                        |                                       |   | 1251                | 110        | 2251         | 55                                 | Extension for reply within first month                                     |             |
|  | FEE                                   | CALCULATION                                   | 1252                | 420        | 2252         | 210                                | Extension for reply within second month                                    |             |
| 1. BASIC FI                              | LING FEE                              |   | 1253                | 950        | 2253         | 475                                | Extension for reply within third month                                     |             |
| Large Entity                             | Small Entity                          | _   | 1254                | 1,480      | 2254         | 740                                | Extension for reply within fourth month                                    |             |
|  | Fee Fee                               | Fee Description Fee Paid                      |                     |            |              |                                    |  |             |
|  | Code (\$)<br>2001 385                 | Utility filing fee                            | 1255                | 2,010      | 2255         | 1,005                              | Extension for reply within fifth month                                     |             |
|  | 2001 383                              | Design filing fee                             | 1401                | 330        | 2401         | 165                                | Notice of Appeal   |             |
|  | 2002 170                              | Plant filing fee                              | 1402                | 330        | 2402         | 165                                | Filing a brief in support of an appeal                                     |             |
|  | 2004 385                              | Reissue filing fee                            | 1403                | 290        | 2403         | 145                                | Request for oral hearing   |             |
|  | 2005 80                               | Provisional filing fee                        | 1451                | 1,510      | 1451         | 1,510                              | Petition to institute a public use proceeding                              |             |
|  |                                       | 1452  | 110                 | 2452       | 55           | Petition to revive - unavoidable   |  |             |
| SUBTOTAL (1)                             |                                       | 1453  | 1,330               | 2453       | 665          | Petition to revive – unintentional |  |             |
| 2. EXTRA CL                              | AIM FEES FO                           | OR UTILITY AND REISSUE                        | 1501                | 1,330      | 2501         | 665                                | Utility issue fee (or reissue)   |             |
|  |                                       | Fee from                                      | 1502                | 480        | 2502         | 240                                | Design issue fee   |             |
|  | Ext                                   | ra Claims below Fee Paid                      | 1503                | 640        | 2503         | 320                                | Plant issue fee  |             |
| Total Claims -** =                       |                                       | 1460  | 130                 | 1460       | 130          | Petitions to the Commissioner      |  |             |
| Independent                              | <b>=</b>                              |   | 1807                | 50         | 1807         | 50                                 | Petitions related to provisional applications                              |             |
| Claims                                   | =                                     |   | 1806                | 180        | 1806         | 180                                | Submission of Information Disclosure                                       |             |
| Multiple<br>Dependent                    |                                       | × =   |                     |            |              |                                    | Stmt   |             |
| Large Entity Fee Fee                     | Small Entity                          |   | 8021                | 40         | 8021         | 40                                 | Recording each patent assignment per property (times number of properties) | 40          |
| Code (\$)                                | Fee Fee<br>Code (\$)                  | Fee Description                               | 1809                | 770        | 2809         | 385                                | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 1202 18<br>1201 86                       | 2202 9<br>2201 43                     |   | 1810                | 770        | 2810         | 385                                | For each additional invention to be  |             |
| 1201 86<br>1203 290                      | 2201 43                               |   |                     |            |              |                                    | examined (37 CFR § 1.129(b))   |             |
| 1204 86                                  | 2204 43                               | ** Paissue independent claims                 | 1801                | 770        | 2801         | 385                                | Request for Continued Examination (RCE)                                    |             |
| 1205 18                                  | 2205 9                                | ** Paissue claims in excess of 20             | 1802                | 900        | 1802         | 900                                | Request for expedited examination<br>of a design application               |             |
| SUBTOTAL (2) (\$)                        |                                       |   | Other fe            | e (specify | )            |                                    |  |             |
| **or number previ                        |                                       | er; For Reissues, see above                   | ı                   |            |              | Ess Daid                           | SUBTOTAL (2)   |             |
|  |                                       |   | Reduce              | ed by basi | c rung i     | ree Paid                           | SUBTOTAL (3) (\$)170   |             |

| SUBMITTED BY Complete (if applicable) |                   |                                   |        |           |              |  |
|---------------------------------------|-------------------|-----------------------------------|--------|-----------|--------------|--|
| Name (Print/Type)                     | Robert C. Colwell | Registration No. (Attorney/Agent) | 27,431 | Telephone | 650-326-2400 |  |
| Signature                             | ( bet Cole        | -ll                               |        | Date      | 2/11/01      |  |



## United States Patent and Trademar

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.C. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/769.030

01/30/2004

Satoshi Oshima

16869S-104700US

Date Mailed: 06/15/2004

**CONFIRMATION NO. 7614** 

**FORMALITIES LETTER** 

OC000000012939036

20350

TOWNSEND AND TOWNSEND AND CREW, LLP TWO EMBARCADERO CENTER

**EIGHTH FLOOR** 

SAN FRANCISCO, CA 94111-3834

AUG 1 6 2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

08/17/2004 BSAYASI1 00000062 201430

10769030.O. Box 1450

01 FC:1051

130.00 DA

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE